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Attorney Docket No. 42P18421

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Michael Yo-Yun Ho et al.

Application No. 09/965,419

Filed: September 27, 2001

For: **METHOD AND APPARATUS  
FOR ENCODING INFORMATION**

Examiner: Wellington Chin

Art Unit: 2664

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of any foreign patent and non-patent literature documents cited thereon. It is respectfully requested that the cited documents be considered, and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

By: Anne Collette  
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Date: February 1, 2005

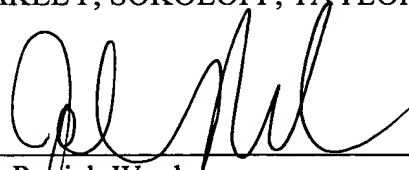
Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- X   37 C.F.R. §1.97(b).
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- A statement pursuant to 37 C.F.R. §1.97(e) or
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP



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Substitute for Form 1449A/PTO (Modified)  <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>				<b>Complete if Known</b>				
				Application Number	09/965,419			
				Filing Date	September 27, 2001			
				First Named Inventor:	Michael Yo-Yun Ho			
				Group Art Unit	2664			
				Examiner Name	Wellington Chin			
				Attorney Docket Number	42P18421			
Sheet <u>1</u> of <u>1</u>								
<b>U.S. PATENT DOCUMENTS</b>								
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Number Code <sup>2</sup>	Kind (If known)					
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<b>FOREIGN PATENT DOCUMENTS</b>								
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
		EP	0 705 050	A2	Kabushiki Kaisha Toshiba	04-03-1996		
		WO	96/41435		GRC International, Inc.	12-19-1996		
		WO	02/095999	A2	West Bay Semiconductor	11-28-2002		
<b>OTHER ART – NON PATENT LITERATURE DOCUMENTS</b>								
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published						T <sup>2</sup>
		FUQIANG, S. et al., "A SDH STM-N Processor for Overhead Terminating and PDH Interface," High Technology Letters, Vol. 5, No. 1, pp. 25-28, June 1999.						
		HAMLIN, R. et al., "A SONET/SDH Overhead Terminator for STS-3, STS-3C, and STM-1," IEEE Journal of Solid-State Circuits, Vol. 28, No. 3, pp. 276-281, March 28, 1993.						
		EUROPEAN PATENT OFFICE, International Search Report for International Application No. PCT/CA01/01105, 9 pages, November 26, 2002.						
		EUROPEAN PATENT OFFICE, International Preliminary Examination Report for International Application No. PCT/CA01/01105, 8 pages, September 5, 2003.						

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.